

# FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

|  |           |
|--|-----------|
| OMB APPROVAL                                   |           |
| OMB Number:                                    | 3235-0287 |
| Estimated average burden hours per response... | 0.5       |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |   |   |
|---|---|---|
| 1. Name and Address of Reporting Person *<br><b>PATEL KIRAN M</b>           | 2. Issuer Name and Ticker or Trading Symbol<br><b>KLA CORP [KLAC]</b>                   | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br><input checked="" type="checkbox"/> Director <input type="checkbox"/> 10% Owner<br><input type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below) |
| (Last) (First) (Middle)<br><b>C/O KLA CORPORATION, ONE TECHNOLOGY DRIVE</b> | 3. Date of Earliest Transaction (Month/Day/Year)<br><b>11/04/2020</b>                   |   |
| (Street)<br><b>MILPITAS, CA 95035</b>                                       | 4. If Amendment, Date Original Filed(Month/Day/Year)                                    | 6. Individual or Joint/Group Filing (Check Applicable Line)<br><input checked="" type="checkbox"/> Form filed by One Reporting Person<br><input type="checkbox"/> Form filed by More than One Reporting Person  |
| (City) (State) (Zip)  | <b>Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned</b> |   |

| 1. Title of Security (Instr. 3)           | 2. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) |   | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |            |       | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|--------------------------------------|--|--------------------------------|---|---|------------|-------|---|--|---|
|   |                                      |  | Code                           | V | Amount  | (A) or (D) | Price |   |  |   |
| Common Stock - Restricted Stock Units (1) | 11/04/2020                           |  | M                              |   | 1,162 (2)   | D          | \$ 0  | 0   | D  |   |
| Common Stock                              | 11/04/2020                           |  | M                              |   | 1,162 (2)   | A          | \$ 0  | 3,234   | D  |   |
| Common Stock - Restricted Stock Units (1) | 11/04/2020                           |  | A                              |   | 906 (3)   | A          | \$ 0  | 906   | D  |   |
| Common Stock                              |                                      |  |                                |   |   |            |       | 7,754   | I  | By Trust (4)  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|-----------------|---|--|--|--|--|
|  |  |                                      |  |                                |   | Date Exercisable   | Expiration Date |   |  |  |  |  |
|  |  |                                      |  | Code                           | V   | (A)  | (D)             |   |  |  |  |  |
|  |  |                                      |  |                                |   |  |                 |   |  |  |  |  |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| PATEL KIRAN M<br>C/O KLA CORPORATION<br>ONE TECHNOLOGY DRIVE<br>MILPITAS, CA 95035 | X             |           |         |       |

# Signatures

|   |  |            |
|---|--|------------|
| /s/ Jeffrey Cannon as Attorney-in-Fact for Kiran M. Patel |  | 11/06/2020 |
| **Signature of Reporting Person                           |  | Date       |

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Each restricted stock unit ("RSU") represents a contingent right to receive one share of KLA common stock.
- (2) On November 5, 2019, the Reporting Person received an automatic grant of RSUs for 1,162 shares of KLA common stock. On November 4, 2020, the RSUs vested in full.
- (3) On November 4, 2020, the Reporting Person received an automatic grant of RSUs for 906 shares of KLA common stock. The RSUs will vest upon the earliest to occur of (i) November 4, 2021, (ii) immediately prior to the next annual meeting of stockholders and (iii) ten days prior to a change of control of KLA.
- (4) By The Kiran Patel Trust dated August 28, 2018, of which the Reporting Person is a trustee and beneficiary.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.